Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-JAN-21** 

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-FEB-2021 16:13:50

Passed         Adjustments         Value           1         Rooperty & Equipment         1,088,051         5,088,051           1.3         Rooperty & Equipment         1,088,051         5,088,051           1.4         Rooperty & Equipment         1,088,051         5,088,051           1.5         Interpreter Activity         1,088,051         5,088,051           1.6         Interpreter Activity         1,087,051         5,088,051           1.6         Interpreter Activity         1,087,051         1,080,051           1.6         Interpreter Activity         1,080,051         1,080,051           1.6         Interpreter Activity         1,080,051         1,080,051           1.6         Interpreter Activity         1,080,051         1,080,051           1.7         Intereterene In Figuity Securitis         Adjustseca		Submission Date 13-11D-2021 10.13.30		Page	1 Of 3
1         Assets         1.088.051           1.1         Property & Equipment         1.088.051         1.088.051           1.2         Instruction In Oct. Socialise	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
11         Property & Enujornent         1.088.051         1.088.051           12         Investment In Code, Securities			Pak Rupee	Adjustments	Value
1         Display State         Display State           1.3         Investment in Dob. Socurities         Investment in Dob. Socurities           1.4         Investment in Dob. Socurities         Investment in Dob. Socurities           1.5         Investment in Dob. Socurities         Investment in Dob. Socurities           1.6         Investment in Con. Socurities         Investment in Con. Socurities           1.7         Investment in Con. Socurities of Innue tomos Inserve of Innue tomos Inserve         Investment in Con. Socurities           1.8         Interaction of Innue tomos Inserve of Innue tomos Inserve         Interaction of Innue tomos Inserve           1.9         Interaction on one paper interaction of Innue tomos Inserve         Interaction on one paper interaction one paper interaction on one p	1	Assets			
1.2       Intrangible Asset:	1.1	Property & Equipment	1,088,051	1,088,051	
1.4       Investment in Debt. Socurities         1.5% of the balance sheet value in the case of tenue upto 1 year.	1.2	Intangible Assets			
It isleed them       It isleed them         It Sket the balance sheet value. In the case of tenue upto 1 year.       It isleed them.         It 15% of the balance sheet value. In the case of tenue of more it must system.       It isleed them.         It 15% of the balance sheet value. In the case of tenue of more it must system.       It isleed them.         It 15% of the balance sheet value. In the case of tenue of more it must system.       It isleed them.         It 15% of the balance sheet value. In the case of tenue of more it more year.       It isleed them.         It 15% of the balance sheet value. In the case of tenue of more it more year.       It isleed them it islee					
12% of the balance sheet value, in the case of renue of non-13 yeas.					
II. 35% of the balance sheet value, in the case of fearue from 1-3 yeas.         II. 10% of the balance sheet value, in the case of fearue from than 3 yeas.           II. 10% of the balance sheet value in the case of fearue from 3 yeas.         III. 10% of the balance sheet value in the case of fearue from 3 yeas.           II. 10% of the balance sheet value in the case of fearue from 3 yeas.         III. 10% of the balance sheet value in the case of fearue from 3 yeas.           II. 10% of the balance sheet value.         III. 10% of the balance sheet value in the case of fearue from 5 yeas.           II. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         III. 10% of the balance sheet value.           III. 10% of the balance sheet value.         IIII. 10% of the balance sheet value.           III. 10% of the balance sheet value.         IIII. 10% of the balance sheet value.           III. 10% of the balance sheet value.         IIII. 10% of the balance sheet value.           III. 10% of the balance sheet value.         IIII. 10% of the balance sheet value.					
II. 10% of the balance sheet value, in the case of tenue upto 1 year.         II. 10% of the balance sheet value in the case of tenue upto 1 year.           II. 25% of the balance sheet value, in the case of tenue upto 1 year.         II. 10% of the balance sheet value, in the case of tenue upto 1 year.           II. 10% of the balance sheet value, in the case of tenue of none than 3 years.         III. 10% of the balance sheet value, in the case of tenue of none than 3 years.           II. 10% of the balance sheet value, in the case of tenue of none than 3 years.         III. 10% of the balance sheet value, in the case of tenue shoet years.           III. 10% of the balance sheet value, in the case of tenue shoet years.         III. 10% of the balance sheet value, in the case of tenue shoet years.           III. 10% of the balance sheet value, in the case of tenue shoet years.         III. 20% of the balance sheet years.           III. 10% of the balance sheet years.         III. 20% of the balance sheet years.           III. 10% of the balance sheet years.         III. 20% of the balance sheet years.           III. 20% of the balance sheet years.         III. 20% of the balance sheet years.           III. 20% of the balance sheet years.         III. 20% of the balance sheet years.           III. 20% of the balance sheet years.         III. 20% of the balance sheet years.           III. 20% of the balance sheet years.         IIII. 20% of the balance sheet years.           III. 20% of the balance sheet years.         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
1.10% of the balance sheet value in the case of ferrure form 1-3 years.		iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
II. 1225 of the balance sheet value, In the case of tenuer from 1-3 yeas.         III.           III. 1225 of the balance sheet value, In the case of tenuer from 1-3 yeas.         III.           III. Tested of the balance sheet value, In the case of tenuer from than 3 yeas.         III.           III. Tested the value of the case of tenuer from than 3 yeas.         III.           III. Tested the value of the case of tenuer from than 3 yeas.         III.           III. Subscription money galots finestment in POD/Fer for Sale. Amount paid as subscription money meeded that thare have not been alloted or are not included in the investments of securities beker.         III.           VI. 006. Hairout shall be applied to Value of investment in None securities which are investment in None securities which are investment in None securities which are provided in a trans the statistic shall be applicable (August 22, 2017)         IIII.           1.1. Filted 20% or VAR of each securities as computed by the Securities statis which are introver its subscription money introver dismission in securities statis which are provided in the securities statis which are provided in the securities statis and the applicable (August 22, 2017)         IIII.           1.1. Filted 20% or VAR of each securities as computed by the Securities statis are provided in the securities statis and the applicable (August 22, 2017)         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
III. 15% of the balance sheet value, in the case of renue of more than 3 years.         III. 15           15         Investment in Equity Securities         Social Securities           15         Investment in Equity Securities on the cutoff date as computed by the Securities backet.         10.302.410           15.15         Investment in Equity Securities on the cutoff date as computed by the Securities backet.         10.302.410           16.15         Social Securities         10.302.410         10.302.410           17.15         Investment in an end including shares of table securities which are predicted in the more small state.         10.302.410           17.15         How state in Block, Free reg Pielog 4 table as on reporting date. Uky 19.207         Predged in favor of Back sequents of the securities which are previded in from of Back sequents that the securities which are previded in favor of Back sequents as comparing lows against Margin Innancing anangements. In such asas, the haircut as provided in the social securities and the securities which are previded in the social securities and the sequents of table securities which are previded in the social securities and table securities which are previded at the social securities and table securities which are previded at the social securities and table securities which are previded at the investment in associal securities and table securities which are previded at the social securities and table securities and table securities which are previded at the investment in subclicities as comparing which are previded at the investment in social securities and table securities which are previded at the investment in these securities which are previded at the					
If listed 1% or Val of aach securities on the cutoff date as computed by the Securities techning whichews is higher.         835,380         4.500.0           respective securities whichews is higher.         10.302.410         10.302.410         10.302.410           III. Unlisted, 10% of carring value.         10.302.410         10.302.410         10.302.410           III. Unlisted, 10% of carring value.         10.302.410         10.302.410         10.302.410           IVENT of the trans have not been alloted or are on included in the investment in those securities which are have to the septed of the trans that are on those of investment in those securities which are have to the securities which are provided in the transmost metric of investment in securities which are provided in the transmost metric of investment in securities which are provided in the securities are provided in the securities are provided in the securities which are provided in the securities are provided in the securities which are provided in the securities which are provided in the securities are provided in the securities which are provided in the securities are provided in the securities are provided in the securities are p					
Isspective securities whichever is higher.         10.302,410           I. Builded 100% of carrying value.         10.302,410           II. Subscription money against investment in POolffor Sale. Amount paid as subscription morey         10.302,410           II. Subscription money against investment in any asset including material south the securities boker.         10.302,410           II. Note that uses have not been allocide or all not included in the investment in hose securities which are Pledged in favor of Stock to change / Clearing louse against Margin Financing requirements or pledged in favor of Stock to change of Termstement in succurates what be applicable (August 25, 2017)           I. Investment in associated companies used the applicable (August 25, 2017)         10.302,410           I. Investment in associated companies used the applicable (August 25, 2017)         11.30           Investment in associated companies used the applicable (August 25, 2017)         12.30           I. Investment in associated companies used the applicable (August 25, 2017)         13.30           I. Investment in associated companies used the applicable (August 25, 2017)         14.30           I. I. Investment in associated companies used the applicable (August 25, 2017)         14.30           I. I. Investment in associated companies used the applicable (August 25, 2017)         14.30           I. I. Investment in associated companies used the applicable (August 25, 2017)         14.30           I. I. Investime in associated companies used the applicable (	1.5				
I. Turbited. 100% of carrying value.         10.302,410         10.302,410           III. Subscription money against investment in IPOloffer for Sale. Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities backet.         100.302,410           III. Turbited. 100% of carrying value.         III. Subscription money against investment in any asse including shares of failed securities in the investments or pledged in favor of Suck Exchange (Charen) places against Magin Enancing regulaments for pledged in favor of Suck Exchange (Charen) places against Magin Enancing regulaments in specified as subscription in subscriptin subscription in subscription in subscription in subs			5,335,370	835,350	4,500,020
III Subscription money against Investment in PiODIFF for Sale. Amount paid as subscription money         III Subscription money against Investment in any asset Including shares of listed securities           IV 100% Haircut shall be applied to Value of Investment in any asset Including shares of listed securities         Provided securities in the securities and secure and secure and secure and securities and securities and securi			10 302 /10	10 302 410	
provided inst hares have not been alloted or are not included in the investments of securities takes.			10,302,410	10,302,410	
Intel are in Block, Freeze or Predge status as on reporting date (July 19, 2017)           Provided that 100% half-cut shall not be applied in case of investment in those securities which are Predgeed in favor of Stock Exchange / Clearing House against Margin Financing requirements or sployded in schedule II of the Regulations in respect of investment in subsidiaries.           16         Investment in subsidiaries.           17         Investment in subsidiaries.           18         Intel 20% or VAR of each securities as computed by the Securities Exchange for respective securities.           18         Intel 184.0.00% of each securities as computed by the Securities under SLB.           18         Statutory or regulation deposits with the exchanges, clearing house or central depository           19         Margin deposits with exchange and clearing house.           110         Deposit with authorized intermediary against borowed securities under SLB.           111         Other deposits and pregrammats           112         Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (NI)           113         Dividentific celevables         11.1           114         Amounts receivable against Report financing.         11.2           113         Dividentific celevables         9.860,727           114         Amounts receivables.         9.860,727           115         Shaturities of the RPO agaement					
Provided that 100% haircut shall not be applied in case of investment in those securities which are Piedged in favor of Bank against Short Term financing arangements. In such cases, the haircut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           1.6         Investment in associated companies/undertaking					
In favor of Banks against short term financing arrangements. In such cases, the halicut as provided in scheduel III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)           16         Investment in associated companies/undertaking           17         Investment in associated companies/undertaking           18         Statutoy or regulations in respective securities are computed by the Securites Exchange for respective securities whichever is higher.           18         Statutoy or regulations in respective deposits with the exchanges, clearing house or central depository         1,867,285           19         Margin deposits with exchange and clearing house.         11,970,000         0           110         Deposit with authorized intermediary against borrowed securities under SLB.         11,970,000         0         11,970,000           111         Other deposits and netwo are on amounts placed with financial institutions or debt securities equival as purchaser under the REPO agreement.         11,970,000         0         11,970,000           111         Other deposits and netwo accued on loans to directors, subsidiates and other related parties         11,170,000         11,970,000         11,970,000           112         Accued networkser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments, subsidiates and other related parties         11,170,000         11,170,000           11.16         Interceivables one					
schedule III of the Regulations in respect of Investment in securities shall be applicable (August 25, 2017)         Investment in associated companies/undertaking           1.7         Investment in associated companies/undertaking         Intel 40% or VRA for each securities as computed by the Securities Exchange for respective securities           1.8         Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository         1.867.285         1.867.285           1.9         Margin deposits with exchange and clearing house.         11.970.000         0         11.970.00           1.10         Deposits with exchange and clearing house.         11.970.000         0         11.970.00           1.11         Other deposits and prepayments         11.970.000         0         11.970.00           1.12         Accured interest, profit or mark-up on amounts placed with financial institutions or debt securities         11.970.00         11.970.00           1.13         Dividends receivables         11.970.00         11.970.00         11.970.00           1.14         Amount paid as puchase under the REPO agreement. (Securities under state         11.970.00         11.970.00           1.14         Amount paid as puchase under the REPO agreement. (Securities puchased under repo arangement that in tail on the investments).         11.11           1.14         Amount paid as puchase under the REPO agreement. Securitis and in st					
1.6       Investment in subsidiaries					
1.7       Investment in associated companies/undertaking					
whichever is higher.         iii if unisted, 100% of net value.           18         Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository         1,867,285           19         Margin deposits with exchange and clearing house.         11,970,000         0           110         Deposits with auchnarge and clearing house.         11,970,000         0         11,970,000           111         Other deposits and prepayments         11,970,000         0         11,970,000         0         11,970,000           112         Accound interest, porfit or mark-up on amounts placed with financial institutions or debt securities         11,970,000         0         11,970,000           1120         Accound interest, porfit or mark-up on amounts placed with financial institutions or debt securities         11,970,000         0         11,970,000           1131         Dividends receivables         0         11,970,000         0         11,970,000           1132         Accound interest, porfit or mark-up on amounts placed with financial institutions or debt securities         11,970,000         0         11,970,000           1131         Dividends receivables         0         9,650,727         9,650,727         9,650,727           11.15         I. Short Tem Loan To Employees: Loans are Secured and Due for repayment within 12 months         11 </td <td></td> <td></td> <td></td> <td></td> <td></td>					
II. If unlisted, 100% of net value.         II. 8           18         Statutory or regulatory depositbasic deposits with the exchanges, clearing house or central depository dramy other entity.         1,867,285           19         Margin deposits with exchange and clearing house.         11,970,00         0         11,970,00           110         Deposit with authorized intermediary against borrowed securities under SLB.         II.         0         11,970,00         0         11,970,00           111         Other deposits and prepayments         II.         0         11,970,00         0         11,970,00           113         Dividends necelvables.         0         11,970,00         0         11,970,00           113         Dividends necelvables.         0         0         11,970,00         0         11,970,00           114         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         0 <td></td> <td></td> <td></td> <td></td> <td></td>					
18.       Statutory oregulatory depositsbasic deposits with the exchanges, clearing house or central depository       1,867,285         19.       Margin deposits with exchange and clearing house.       11,970,000       0         110       Deposits with exchange and clearing house.       11,970,000       0         111       Other deposits and prepariments       11,970,000       0       11,970,000         112       Deposit with exchange and clearing house.       11,970,000       0       11,970,000         112       Deposit with exchange and clearing house.       11,970,000       0       11,970,000         111       Other deposits and prepariments.       11,111       11,1111       11,111       11,111					
or any other entity.     1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.			1,867,285	1,867,285	
1.10       Deposit with authorized intermediary against borrowed securities under SLB.       Image: Control of the deposits and prepayments         1.11       Other deposits and prepayments       Image: Control of the deposits and prepayments         1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities effect on markup accrued on loans to directors, subsidiaries and other related parties       Image: Control of the deposits and prepayment within the deposits and other related parties         1.13       Dividends receivables against Repo financing.       Image: Control of the deposits and prepayment within 12 months         1.14       Amounts receivables against Repo financing.       Image: Control of the deposits and the receivables of the repayment within 12 months         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       Image: Control of the deposits and the receivables on account of entitlements against trading of securities in all markets including MM gains.         1.16       Receivables from Clearling house on account of entitlements against trading of securities held in the blocked account after against margin financing, the aggregate if (i) value of securities held in the blocked account after against margin financing, the aggregate if (i) value of securities held in the blocked account after against margin trading. Sk of the net balance sheet value.         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after aggrity with adaing. Sk of the net balance sheet value.		or any other entity.			
1.1       Other deposits and prepayments       Image: Control of the control			11,970,000	0	11,970,000
etc.(Nil)       interpret of markup accrued on loans to directors, subsidiaries and other related parties         1.13       Dividends receivable against Repo financing.         Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months         ii. Receivables other than trade receivables       9,650,727         1.16       Receivables from Clearing house or securities exchange(s)         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         ii. Receivables from customers       ii. Case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based Haircut.         ii. In case receivables are against margin financing, the edgregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based Haircut.         iii. In case receivables are against margin trading. 5% of the net balance sheet value.       iii. Incase receivables are against margin trading. 5% of the net balance sheet value.         iii. Net amount after deducting haircut.       iii. Net amount after deducting haircut.       iiii. Net amoun					
10% in respect of markup accrued on loans to directors, subsidiaries and other related parties					
1.13       Dividends receivables.       Image: Section of the sectin of the section of the sectin of the section of the se					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       Image: Securities purchased under repo arrangement shall not be included in the investments.)         1.15       I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       9,650,727         1.16       Receivables other than trade receivables       9,650,727         1.16       Receivables from clearing house or securities exchange(s)       Image: Securities in all markets including MtM gains.         II.10       Nalue of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       Image: Securities in all markets including MtM gains.         II.17       Receivables from customers       Image: Securities held in the blocked account after applying VAR based Haircut.       Image: Securities held in the blocked account after applying VAR based haircut.         I. Lower of net balance sheet value or value determined through adjustments.       Image: Securities deposited as collateral after applying VAR based haircut.       Image: Securities deposited as collateral by the innancee (iii) market including haircut       Image: Securities deposited as eculities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       Image: Securities deposited as collateral by the market value.       7,461,469       0       7,461,459         V. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market val	1.13	Dividends receivables.			
shall not be included in the investments.)       In the investments.)         1.15       i. Shott Term Loan To Employees: Loans are Secured and Due for repayment within 12 months         ii. Receivables other than trade receivables       9,650,727         1.16       Receivables from Clearing house or securities exchange(s)         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         ii. Receivables of nor clearing house or securities in all markets including MtM gains.         ii. Receivables of nor customers         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.         ii. In case receivables are against margin financing.         iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into: contract,         iii. Net amount after deducting haircut         iii. Net amount after deducting haircut         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market         v. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (ii) the market value of securities purchased for customer and (iii) the market value of securities acollateral by the respective customer and (iiii) the market value of securities acollateral after	1.14	Amounts receivable against Repo financing.			
1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       9,650,727       9,650,727         1.16       Receivables from clearing house or securities exchange(s)       9,650,727       9,650,727         1.16       Receivables from clearing house or securities exchange(s)       9,650,727       9,650,727         1.17       Receivable on entitlements against trading of securities in all markets including MtM gains.       9,650,727       9,650,727         1.17       Receivable on entitlements against trading of securities in all markets including MtM gains.       9,650,727       9,650,727         1.17       Receivables from customers       9,650,727       9,650,727         1.18       I. n case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.       10,050,000,000,000,000,000,000,000,000,0					
1.16       Receivables from clearing house or securities exchange(s)					
1.16       Receivables from clearing house or securities exchange(s)       Image: Claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         1.10       Image: Claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       Image: Claims other than those on account of entitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers       Image: Claims other applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Claims other applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Claims other applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Claims other and the claims other and through adjustments.         II. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       Image: Claims other trade receivables are one than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,469       0       7,461,469       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524				0.050.707	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       iii. Receivables including MtM gains.         ii. Receivables on entitlements against trading of securities in all markets including MtM gains.       iii. Receivables from customers         1.17       Receivables from customers       iii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Nease receivables are other trade receivables are overdue, of 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524			9,650,727	9,650,727	
markets including MtM gains.       ii. Receivable on entitlements against trading of securities in all markets including MtM gains.         1.17       Receivables from customers       ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       ii. Dever of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Net amount after deducting haircut       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut       iii. Net amount after deducting haircut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       2,611,524       <					
1.17       Receivables from customers		markets including MtM gains.			
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.         i. Lower of net balance sheet value or value determined through adjustments.       ii. Incase receivables are against margin trading, 5% of the net balance sheet value.         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haircut         iii. Net ase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       iii) the market value of securities held as collateral after applying VAR based haircuts.       4,461,639       2,611,524       2,611,524					
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.					
market value of any securities deposited as collateral after applying VaR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       4,461,639       2,611,524       2,611,524         value of securities held as collateral after applying VAR based haircuts.       0       7,461,459       2,611,524					
ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Net amount after deducting haircut         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,5         value of securities purchased for customers and held in sub-accounts after applying VAR based       haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       7,461,459       2,611,524       2,611,524		market value of any securities deposited as collateral after applying VaR based haircut.			
ii. Net amount after deducting haircut       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,         iii. Net amount after deducting haircut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524         value of securities purchased for customers and held in sub-accounts after applying VAR based       haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       iii) the market value of securities held as collateral after applying VAR based haircuts.       iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       iii) the market value of securities held as collateral after applying VAR based haircuts.					
iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         v. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524         value of securities purchased for customers and held in sub-accounts after applying VAR based       haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       7,461,459       2,611,524       2,611,524					
iii. Net amount after deducting haricut       iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       7,461,469       0       7,461,4         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       4,461,639       2,611,524       2,611,524         value of securities purchased for customers and held in sub-accounts after applying VAR based       haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       0       1		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.7,461,46907,461,4iv. Balance sheet valuev. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market4,461,6392,611,5242,611,524value of securities purchased for customers and held in sub-accounts after applying VAR basedhaircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value ofsecurities held as collateral after applying VAR based haircuts.iii) the market value ofiii)					
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 4,461,639 2,611,524 2,611,524 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.			7,461,469	0	7,461,469
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			4 101 0	0.011.55	
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			4,461,639	2,611,524	2,611,524
		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
IVE JUV® DAIFCUT IN THE CASE OF AMOUNT RECEIVABLE FORM RELATED DATES					
1.18     Cash and Bank balances					
	1.10		65,363	0	65,363
ii. Bank balance-customer accounts 15,005,557 0 15,005,5		ii. Bank balance-customer accounts	15,005,557	0	15,005,557
			24,125	0	24,125

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-JAN-21** 

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-FEB-2021 16:13:50

	Submission Date 13-11D-2021 10.13.30		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	67,231,996	26,355,347	41,638,058
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house	1,990,919	0	1,990,919
	ii. Payable against leveraged market products iii. Payable to customers	29,164,206	0	29,164,206
2.2	Current Liabilities	,,		
	i. Statutory and regulatory dues			
	ii. Accruals and other payables iii. Short-term borrowings	713,498	0	713,498
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts	1,726,523	0	1,726,523
	viii. Provision for taxation	1,720,525	0	1,720,525
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing			
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. Iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	<ul> <li>i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified:</li> <li>a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period</li> <li>b. No haircut will be allowed against short term portion which is repayable within next 12 months.</li> <li>c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.</li> <li>ii. Subordinated loans which do not fulfill the conditions specified by SECP</li> </ul>			
25		00 505 440	0	00 505 4 40
2.5	Total Liabilities	33,595,146	0	33,595,146
3.1	Ranking Liabilities Relating to : Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
	Repo adjustment			

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-JAN-21** 

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-FEB-2021 16:13:50

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	404,919	404,919
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	404,919	404,919
3.12	Liquid Capital	33,636,850	25,950,428	7,637,993