Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAR-19**

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-APR-2019 14:22:13

Resc Resc Adjutation to Value 1 Assets 1.055.199 1.055.199 1.1 Property & Equipment 1.055.199 1.055.199 1.2 Intraction Loop Securities 1.055.199 1.055.199 1.3 Prostment Loop Securities 1.055.199 1.055.199 1.4 Introduction Loop Securities 1.055.199 1.055.199 1.3 Introduction Description 1.055.199 1.055.199 1.055.199 1.3 Introduction Securities 1.055.199 1.055.211		Submission Date 15-APR-2019 14.22.15		Page	1 Of 3
1.1 Nonperty & Equipment 1.066.196 1.2 Integration in Debt, Socialities 1.1 1.4 Investment in Debt, Socialities 1.1 1.4 Investment in Debt, Socialities 1.1 1.4 Investment in Debt, Socialities 1.1 1.5 Intel to be balance short value, in the case of tenue organ 1.1 1.6 Intel to be balance short value, in the case of tenue organ 1.1 1.7 Intel to be balance short value, in the case of tenue organ 1.2 1.7 Intel to be balance short value, in the case of tenue organ 1.2 1.7 Intel to be balance short value, in the case of tenue organ 1.2 1.7 Intel to be balance short value, in the case of tenue organ 1.2 1.8 Intel to be balance short value, in the case of tenue organ 1.2 1.9 Intel to be balance short value, in the case of tenue organ 1.2 1.9 Intel to be balance short value, in the case of tenue or to a start diption more grain to be balance balance to be value of tenue or the value organ 1.2 1.8 Vester to tase or tenue organ 1.2 1.2 1.2	S.No.	Head of Account			Net Adjusted Value
1 Display May Different 1 1.3 Presentent In Geol, Socurities 1 1.4 Investment In Dobl. Socurities 1 1.5 Presentent In Geol, Socurities 1 1.6 Investment In Dobl. Socurities 1 1.6 Interaction and the solution in the case of tenue endor. 1: yeas. 1 1.106 of the balance abenet value. In the case of tenue endor. 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endor. 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endors 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endors 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endors 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endors 1: yeas. 1 1 1.106 of the balance abenet value. In the case of tenue endors 1: yeas. 1 1 1.106 of the balance abenet value. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 <t< td=""><td>1</td><td>Assets</td><td></td><td></td><td></td></t<>	1	Assets			
1.3 Investment in Debt. Socialities 1.4 Investment in Debt. Socialities 1.5 Investment in Debt. Socialities 1.6 Investment in Debt. Socialities in the case of ferman of more hand yeak. 1.6 Investment in Debt. Socialities in the case of ferman of more hand yeak. 1.6 Investment in Debt. Socialities in the case of ferman of more hand yeak. 1.6 Investment in Debt. Socialities in the case of ferman of more hand yeak. 1.6 Investment in Socialities in Conference on Socialities in Debt. Sociali			1,056,196	1,056,196	
14 Investment in Debt Securities 15 Securities 16 Securities 17 Securities 17 Securities 17 Securities 17 Securities 17 Securities 18 Securities 19 Securities 11 Securities 11 Securities 12 Securities 13 Investment in the case of tenue of mere than 3 yeas. 14 Intervestment in the case of tenue of mere than 3 yeas. 15 Investment in the case of tenue of mere securities when the a securities in the case of tenue of mere securities in the case of tenue of mere securities when the securities in the a second tenue of t					
It listed than: Exist the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue upto 1 year. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value of tenue of more value. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value in the case of tenue of more value. Exist of the balance sheet value of more value of more value. Exist of the balance sheet value of more value of the value of the second value. Exist of the balance sheet value of more value of the value value. Exist of the balance the case the value of the value value. Exist of the balance the value of the value of the value value. Exist of the balance tenue value of tenue of					
II. 72% of the balance sheet value, in the case of tenue of more than 3 yes. II. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value, in the case of tenue of more than 3 yes. III. 10% of the balance sheet value of the case of tenue of more than 3 yes. III. 10% of the balance sheet value of the case of tenue of more than 3 yes. III. 10% of the balance sheet value of the case of tenue of more than 3 yes. III. 10% of the balance sheet value of the case of tenue of more than 3 yes. III. 10% of the balance sheet value of the case of tenue of more than 3 yes. III. 10% of the balance					
III. 10% of the balance sheet value, in the case of tenue upto 1 year. III. 20% of the balance sheet value, in the case of tenue upto 1 year. III. 20% of the balance sheet value, in the case of tenue upto 1 year. III. 20% of the balance sheet value, in the case of tenue torm 1.3 year. III. 20% of the balance sheet value, in the case of tenue torm 1.3 year. III. 20% of the balance sheet value, in the case of tenue torm 1.3 year. III. 20% of the balance sheet value, in the case of tenue torm 1.3 year. III. 20% of the balance sheet value in the case of tenue torm 1.3 year. III. 20% of the balance sheet value in the case of tenue torm 1.3 year. III. 20% of the balance sheet value in the case of tenue torm 1.3 year. III. 20% of the balance sheet value in the case of tenue torm 1.3 year. III. 20% of the balance the sheet value in the case of tenue torm 1.3 year. III. 20% of the balance sheet value in the case of tenue torm 1.3 year. III. 20% of the balance the sheet value in the case of tenue torm 1.3 year. III. 20% of the balance the value balance the balance torm 1.3 year. IIII. 20% of the balance the balance the value balance torm 1.3 year. III. 20% of the balance the value balance the balance torm 1.3 year. IIII. 20% of the balance the value balance the valu					
If unitside than:					
II. 1226 of the balance sheet value, in the case of tenue from 1-3 yeas. III. 15 Investment in Equity Securities 61 III. Total of the balance sheet value, in the case of tenue of more than 3 yeas. 10 III. Total of the balance sheet value. 67 671 831 3.807 III. Unlisted. 1005 of Capring value. 10.302 410 10.302 410 10.302 410 III. Unlisted. 1005 of Capring value. 10.302 410 10.302 410 10.302 410 III. Unlisted. 1005 of Capring value. 10.302 410 10.302 410 10.302 410 III. Unlisted. 1005 of Capring value. 10.302 410 10.302 410 10.302 410 III. Unlisted. 1005 of Capring value. 10.302 410 10.302 410 10.302 410 III. Capring value. 10.302 410 10.302 410 10.302 410 10.302 410 III. Capring value. 10.302 410 10.302 410 10.302 410 10.302 410 III. Capring value. 10.302 410 10.302 410 10.302 410 10.302 410 III. Capring value. 10.302 410 10.302 410 10.302 410 10.302 410 III. Capring value. 10.30					
II. TSK of the balance sheet value. In the case of tenue of more than 3 yeas. II. 15. Investment In Equity Securities 671.831 3.807 16. Investment In Equity Securities on the cutoff date as computed by the Securities Exchange for the securities which were shigher and the set of the securities which are provided that in an investment in any asset including three of fields securities which are provided that into the securities which are provided that into the securities which are provided that into the securities which are provided that indox in an origo the addition (Luly 19, 2017) Previded that indox in an investment in any asset including three of fields securities which are provided that indox indox is an inport of date (Luly 19, 2017) Previded that indox indox is an inport of date (Luly 19, 2017) 16. Investment in associated companies as computed by the Securities shall be applicable (August 28, 2017) Investment in associated companies as computed by the Securities shall be applicable (August 28, 2017) Investment in associated companies as computed by the Securities shall be applicable (August 28, 2017) 17. Investment in associated companies as companies under than cutoff as a state and the securities which are the securities which are the securities which are than the second and the securities which are the securitie					
If Isside 15% or Valk of each securities on the cutoff date as computed by the Securities Michael Securi					
respective securities whichever is higher. 10.302,410 ii. Subscription money against investment in PCOPTer for Sale: Amount paid as subscription money gainst investment in any asset including shares of listed securities boker. 10.302,410 hi 100% Halcut Shall be applied to Value of Investment in any asset including shares of listed securities boker. 10.302,410 hi 100% Halcut Shall be applied to case of investment in hows excurtises which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House accompleted by the securities schall be applicable (Auguat 25, 2017) 17 Investment in associated companies. 10.400000000000000000000000000000000000		Investment in Equity Securities			
II. If unised, 100% of carying value. 10.302,410 10.302,410 III. Subscription money applied to Value of investment in POOFFe for Sale: Amount paid as subscription money provided that hares have not been alloted or are not included in the Investments of securities broket. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.302,410 IV. 100% of carying value. IV. 100% of carying value. 10.300,400 0.3300			4,478,875	671,831	3,807,044
provided inst stars have not been alloted or are not included in the investments of socutiles took:			10,302,410	10,302,410	
v. 100% Haicu shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freece of Redge status as on reporting date. (July 19, 2017) Provided that 100% halcut shall not be applied in case of Investment in those securities which are Prodged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Blanks against Short ferm financing arrangements in such cases, the halcut as provided in a schedule in of the Requirement in associated of Investment in securities shall be applicable (August 28, 2017) 1.6 Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking 1.8 Intervest Migned And Status 1.9 Margin deposits with exchange and clearing house against borowed securities which and the depositis with the exchanges, clearing house or central depository 1,967,285 1.0 Depositivith authorize intermedian gainst borowed securities under 5LB. 1 1.11 Other deposits and prepayments 3300,000 3,300 1.12 Accuard Interest, Port or mark-up on amounts placed with financial institutions or debt securities etc. (Ni) 1 1.22 Accuard Interest, Port or mark-up on amounts placed with financial institutions or debt securities 1 1.31 Dividents neceivables 1 1 1.32 Accuard Interest, Port or mark					
Intel are in Block, Freeze of Pledge status as on reporting date", (July 19, 2017) Provided that 100% hours of Slock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Slock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Slock Exchange (Clearing House against Margin Financing requirements in such cases, the haircut as provided in exchedule II of the Regulation in respect of investment in suscalities shall be applicable. (August 2, 2017) 16 Investment in suscalitates					
Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or gledged in favor of Banks against Short Tem financing arrangements in such cases, the halcut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 16 Investment in subsolitaties		that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
in favor of Banks against Short term financing arrangements. In such cases, the haircut as provided in substitutions in such diameters and the applicable (August 25, 2017). 1.6 Investment in associated companies/underfaking 1.7 Investment in associated companies/underfaking 1.8 Interest Sholper. 1.8 Istatutory or regulated since accurate a computed by the Securites Exchange for respective securities whichever is higher. 1.8 Istatutory or regulated since accurate a computed by the Securites Exchange for respective securities whichever is higher. 1.9 Margin deposits with exchange and clearing house. 1.9 Margin deposits with exchange and clearing house. 3.300,000 0.3.300 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 1.12 Accrued Interest, port or mark-up on amounts placed with financial institutions or debt securities etc. (NI) 1.13 Dirk deposits and preparyments 1.14 Amounts receivable against Repo financing. Amounts receivables against Repo financing. Amounts receivables of the rthe REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 1.14 Receivables offer than trade receivables 1.15 Under secure and the reformed of securities exchange(s) 1.16 Not keep of the securities exchange(s) 1.16 Not keep of the securities exchange(s) 1.17 Investment and to clearing house or securities exchange(s) 1.18 Receivables from clearing house on securities exchange(s) 1.19 Not against trading of securities in all markets including MtM gains. 1.10 Not walke of clearing threading 3.061.715 1.10 Keelvables from clearing house on securities exchange(s) 1.10 Not walke of the reported and adapted phylophylophylophylophylophylophylophylo					
1.6 Investment in subsidiates 1 1.7 Investment in subsidiates 1 1.8 Intervent is higher. 1 1.8 Intervent is higher. 1 1.8 Intervent is higher. 1 1.9 Maxing deposits with exchange and clearing house. 3.300,000 0 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 1,867,285 1.10 Deposit with exchange and clearing house. 3.300,000 0 3.300 1.10 Deposit with exchange and clearing house. 3.300,000 0 3.300 1.11 Other deposits and prepayments 1 1 Accrued Interest, pofit or mark-up on amounts placed with financial institutions or debt securities etc.(NI) 1 100% interspect of markup accrued on loans to directors, subsidiaries and other related parties 1		in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.7 Investment in associated companies/undertaking					
whichever is higher. iii. If unisted, 100% of net value. 18. Statutory or regulatory deposits with the exchanges, clearing house or central depository of any other entity. 1,967,285 19. Margin deposits with exchange and clearing house. 3,300,000 0 110. Deposits with authorized intermediary against borrowed securities under SLB. 3,300,000 0 111. Other deposits and grepayments. i.i. (Kill) i.i. (Kill) i.i. (Kill) 112. Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nill) i.i. (Kill) i.i. (Kill) 113. Dividends receivable against Repo financing. i.i. (Kill) i.i. (Kill) i.i. (Kill) 114. Amount paid a purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). i.i. (Kill) i.i. (Kill) 113. Dividend Steceivables other than trade receivables 3,061,715 3,061,715 114. Receivables from Clearing house or securities exchange(s) 3,061,715 3,061,715 115. I. Short Term Loar is against trading of securities in all markets including MtM gains. i.i. (Kill) i.i. (Kill) 115. Receivables from cutlements against trading of securities in all markets including MtM gains. i.i. (Kill) i.i. (Kill) <					
II. If unlisted, 100% of net value.					
18. Statutory or regulatory deposits basic deposits with the exchanges, clearing house or central depository 1,967,285 1,967,285 19. Margin deposits with exchange and clearing house. 3,300,000 0 3,300 110. Deposits with authorized intermediary against borrowed securities under SLB. 0 0 3,300,000 0 3,300 111.12. Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nill) 0 0 0 0 0 0 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 00 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 3,300 0 0 3,300 0 3,300 0 3,300 0 0 0					
19 Margin deposits with exchange and clearing house. 3,300,000 0 3,300 110 Deposit with authorized intermediav against borowed securities under SLB. 0 0 111 Other deposits and prepayments 0 0 0 112 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nil) 0 0 0 100%, in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 0 113 Dividends receivable against Repo financing. 0 0 0 0 114 Amounts receivable against Repo financing. 0		Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,967,285	1,967,285	
110 Deposit with authodized intermediary against borrowed securities under SLB. Image: Content of the deposits and prepayments 111 Other deposits and prepayments Image: Content of the deposits and prepayments 113 Dividends receivables. Image: Content of the deposits and prepayments 114 Amounts receivables. Image: Content of the deposits and prepayments 113 Dividends receivables. Image: Content of the deposits and prepayment within 12 months 114 Amounts receivables. Image: Content of the deposits and prepayment within 12 months 114 Amounts receivables. Image: Content of the deposits and prepayment within 12 months 115 I. Short Fern Loan To Employces: Loans are Secured and Due for repayment within 12 months Image: Content of the deposits and prepayment within 12 months 116 Receivables from Clearing house or securities exchange(s) Image: Content of the deposits and securities exchange (s) 117 Receivables from Clearing Induces or securities in all markets including MM gains. Image: Content of the deposite and securities point of the deposited as collateral after applying VAR based haircut. Image: Content of the deposited as collateral after applying VAR based haircut. 117 Receivables are against margin financing, the aggregate if (i) value of securities held in the blacked secoultate orely able second alust and the deposited as	1.9		3 300 000	0	3,300,000
1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities	1.10	Deposit with authorized intermediary against borrowed securities under SLB.	0,000,000		0,000,000
etc. (NII) 10% in respect of markup accrued on loans to directors, subsidiaries and other related parties 11 1.13 Dividends receivables and the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 11 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments). 11 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 3.061,715 1.16 Receivables other than trade receivables 3.061,715 1.16 Receivables from Clearing house or securities exchange(s) 1 1.17 Receivables from customers 1 1.18 I. Receivables from customers 1 1.17 Receivables from customers 1 1.18 I. Receivables are against margin financing, the agregate if (i) value of securities held in the biotxed account after applying VAR based haircut. 1 1.17 Receivables are against margin financing, Sh of the net balance sheet value. 1 1.18 I. Receivables are against margin financing, the agregate of (i) value of securities held in the biotxed account after applying VAR based haircut. 1 1.19 Receivables are against margin financing. Sh of the net balance sheet value. 1 <td>1.11</td> <td>Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities</td> <td></td> <td></td> <td></td>	1.11	Other deposits and prepayments Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
1.13 Dividends receivables. 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables 3,061,715 3,061,715 1.16 Receivables from clearing house or securities exchange(s) ii. Roceivables one nettlements against trading of securities in all markets including MtM gains. ii. Receivables on entitlements against trading of securities held in the blocked account after applying VAR based Haircut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. ii. In case receivables are against margin trading. 5% of the net balance sheet value. ii. In case receivables are against securities borrowings under SLB. the amount paid to NCCPL as collateral upon entering into contract. iii. Net amount after deducting haircut iii. In case receivables are against margin trading. 5% of the net balance sheet value. <		etc.(Nil)			
1.14 Amount seceivable against Repo financing, Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short ferm Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables 3,061,715 1.16 Receivables from Clearing house or securities exchange(s) i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. Receivables from customers ii. Receivables from Customers i. In case receivables are against margin finanching, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based Haircut, ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering induc ontract, iii. Net amount after deducting haircut iii. Net amount after deducting haircut					
shall not be included in the investments.) 1.15 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months ii. Receivables other than trade receivables 3,061,715 1.16 Receivables other than trade receivables 3,061,715 1.16 Receivables from clearing house or securities exchange(s) ii. i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. iii. ii. Receivables from customers iii. Receivables from customers iii. i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Neas erceivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iii. Net amount after deducting haircut iii. Net amount after deducting haircut, iii. Net amount after deducting haircut, iii. Neas er of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers a	1.14	Amounts receivable against Repo financing.			
1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 3,061,715 1.16 Receivables other than trade receivables 3,061,715 1.16 Receivables from Clearing house or securities exchange(s) i. 1.10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. ii. 1.17 Receivables from Customers ii. Receivables from Customers 1.17 Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut. ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut. ii. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against ecurities borowings under SLB, the amount paid to NCCPL as collateral upon entering haircut iii. Incase receivables are against ecurities borowings under SLB, the amount paid to NCCPL as collateral upon entering haircut 9,687,307 0 9,687 iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities purchased for customers and held in sub-acco					
1.16 Receivables from clearing house or securities exchange(s) 1.100 % value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.100 % value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 11.17 Receivables of entitlements against trading of securities in all markets including MtM gains. 1.117 1.17 Receivables from customers 1.11 1.18 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut. 1.11 1.10 i. In case receivables are against margin trading, 5% of the net balance sheet value. 1.11 1.11 I. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 1.11 1.11 Net amount after deducting haircut 1.11 1.12 we of enter trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 1.11 1.12 we of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 9,687,307 0 9,687 <t< td=""><td>1.15</td><td></td><td></td><td></td><td></td></t<>	1.15				
1.16 Receivables from clearing house or securities exchange(s) 1.100 % value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 1.100 % value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 11.17 Receivables of entitlements against trading of securities in all markets including MtM gains. 1.117 1.17 Receivables from customers 1.11 1.18 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral after applying VAR based haircut. 1.11 1.10 i. In case receivables are against margin trading, 5% of the net balance sheet value. 1.11 1.11 I. In case receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut 1.11 1.11 Net amount after deducting haircut 1.11 1.12 we of enter trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 1.11 1.12 we of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 9,687,307 0 9,687 <t< td=""><td></td><td></td><td>0.004.745</td><td>0.004.745</td><td></td></t<>			0.004.745	0.004.745	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. iii. acceivables including MtM gains. ii. Receivables on entitlements against trading of securities in all markets including MtM gains. iiii. Receivables from customers ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral applying variables are against securities borrowings under SLB, the amount paid to NCCPL as collateral applying variables are other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral applying VAR based haircuts, (ii) cash deposited as collateral applying VAR based haircuts. 6,331,185 6,331,185 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral applying VAR based haircuts. 6,331,185 6,331,185 6,3			3,061,715	3,061,715	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Image: Comparison of the trade in the securities in all markets including MtM gains. 1.17 Receivables from customers Image: Comparison of the trade including the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. Image: Comparison of trade including the trade including the trade including the trade including trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. Image: Comparison of the trade including trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, Image: Collateral upon entering into contract, iii. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 6,331,185 6,331 value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable fo					
1.17 Receivables from customers					
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut. i. bower of net balance sheet value or value determined through adjustments. ii. Lower of net balance sheet value or value determined through adjustments. iii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.					
market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 0		. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties.					
ii. Net amount after deducting haircut iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties.		i. Lower of net balance sheet value or value determined through adjustments.			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. 6,331,185 6,331 vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% vi. 100% vi. 100%					
iii. Net amount after deducting haricut 9,687,307 0 9,687 iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. 100% haircut in the case of amount receivable form related parties. v. 100% haircut in the case of amount receivable form related parties. v. 100% haircut in the case of amount receivable form related parties.		iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 9,687,307 0 9,687 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,607,524 6,331,185 6,331 value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of 8,607,524 6,331,185 6,331 value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. vi. 100% haircut in the case of amount receivable form related parties. vi. 100%					
iv. Balance sheet value iv. Balance sheet value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. iv. 100% haircut in the case of amount receivable form related parties. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based form related parties. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based haircuts. iv. Item value of securities held as collateral after applying VAR based hair			9,687,307	0	9,687,307
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			0 607 604	6 004 405	6 004 405
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. Image: securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Image: securities held as collateral after applying VaR based haircuts.			0,007,524	0,331,185	6,331,185
vi. 100% haircut in the case of amount receivable form related parties.		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	1.18	Cash and Bank balances			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAR-19**

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-APR-2019 14:22:13

J.No. Jack Of JACQUINT Value 4in Sek Kourde Jack E OLY/ Adjustments Jack E Market Value 1 Total Assess 44,798,004 29,403,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007 29,412,007		Submission Date 13-AFR-2019 14.22.13		Page	2 Of 3
2 Trade Register 1 2.1 Trade Register Register<	S.No.	Head of Account			_
2 Tudo Registric Base State S	1.19	Total Assets	48,788,964	23,390,622	29,453,188
1 Expedie to exclusive 8,201,500 0 4,201,500 1 Departies becaution level and the type dues. 13,513,660 0 13,513,660 2 Statution and indepartic dues. 1 14,553,660 0 15,551,660 3 Statution and indepartic dues. 1 14,555,660 0 15,551,660 3 Statution and indepartic dues. 1 14,555,660 0 15,551,660 4 Statution and indepartic dues. 1 14,555,660 0 15,555,660 5 Statution and indepartic dues. 1 14,555,660 0 15,555,660 5 Other and indepartic dues. 1 14,555,660 14,555,660 14,555,660 14,555,660 14,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 16,555,660 15,555,660 16,555,660 15,555,660 16,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660 15,555,660	2				
In Psychole registeric level products 13.913.866 0 12 Current Liabilities 0 13.913.866 0 13.513.866 0 14 Accuration and other psychole 1.655.666 0 16.69.800 15 Accuration and other psychole 0 1.655.666 0 16.69.800 15 Bernald Control Action and State St	2.1	Trade Payables			
Implementation 13.513.886 0 13.513.886 22 Current Liabilities 1.680.866 0 1.680.866 1 Statutory and regulatory data 1.680.866 0 1.680.866 1 Statutory and regulatory data 1.680.866 0 1.680.866 1 Statutory and regulatory data 1.680.866 0 1.680.866 2 Current Liabilities 1 1.680.866 1.680.866 1.680.866 2 Non-Current Liabilities 1 1 1.680.866 1.680.866 1.680.866 2 Non-Current Liabilities 1 1 1.680.866 1.			8,261,550	0	8,261,550
22 Current Liabilities 1.600.466 0 1. Accurate and other payatiles 1.600.466 0 1.600.466 1. Bould and the payatiles 1.600.466 0 1.600.466 1. Bould and the payatiles 1.600.466 0 1.600.466 0 1. Bould and the payatiles 1.600.466 0 1.600.466 0 1. Bould and the payatiles 1.600.466 0 1.600.			13 513 865	0	13 513 865
1 Statutory and equations gains 1.688.680 1 Statut term knowing 1.688.680 2 Statut term knowing 1.688.680 2 Statut term knowing 1.688.680 3 Concerned Liabilities 1.688.680 4 Concerned Liabilities 1.688.680 5 Concernet Liabilities 1.688.680 6 Concernet Liabilities 1.688.680 7 Non-Concern Liabilities 1.688.680 1 Concernet Liabilities 1.699.680 1 Long-Term financing 1.699.680 1 Long-Term financing 1.699.680 1 Long-Term financing 1.699.690 1 Long-Term financing 1.690.690 1 Long-Term financing 1.690.690 1 Long-Term financing antipaction to the top term financing antipaction to the tot	2.2		13,513,605	0	13,313,803
II. Stot-Lum becoming II. Stot-Lum becoming II. Stot V. Current portion of stong term lumited. II. Stot II. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot III. Stot V. Disk of the blad debb III. Stot IIII. Stot V. Disk of the blad debb IIII. Stot IIII. Stot V. Disk of the blad debb IIII. Stot IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
b. Carent portion of subcriticated boars			1,636,866	0	1,636,866
V. Curent patient plating stam liabilities Image: Constraint of the constraint o					
will Provision for load debt Image: Chine leading an out-off the second in the financial statements Image: Chine leading an out-off the second in the financial statements 21 Non-Current Labilities Image: Chine leading an out-off the second in the financial statements Image: Chine leading an out-off the second in the financial statements 11 Based: Chine Second in the second in the second in the finance leader in the second in the se					
will Provision for traatilion					
is. Other liabilities as per accounting principles and included in the financial statements Image: Current Liabilities 23 Non-Current Link titles Image: Current Liabilities is. Long-Term financing obtained from financial institution: Long term potion of financing obtained from a financial institution including amount due against finance lease Image: Current Liabilities is. Other load institution including amount due against finance lease Image: Current Liabilities Image: Current Liabilities is. Advances against shares for increase in Capital of Securities broker. 100% halicut may be allowed in respect of advance against shares for a compary than approved the increase in Capital of Securities broker. 100% halicut may be allowed in the financial statements Image: Current Liabilities approved the increase in Capital of Securities and included in the financial statements is. Unter the increase in Capital of Securities and included in the financial statements Image: Current Liabilities approved the increase of capital is. Unter the increase in Capital of SecUre and page and included in the financial statements Image: Current Liabilities approved against statements 24 Subordinated lears which fulfil the conditions specified by SECP are allowed in the deducted. Image: Current Liabilities approved against state made to the Liquid Capital and reveal Liquid Capita					
23 Non-Current Liabilities Image: Second Secon					
a Long-term financing obtained from financial nativulum Long term portion of financing obtained from a financial institution including amount the against finance lease.	2.3				
a financial institution including amount due against finance lease					
b. Other Long-term financing iii. Advance against shares for Increase in Capital of Securities tarker: 10% haircut may be allowed in respect of advance against shares for Increase in Capital allows the proposed enhanced share capital iii. Advance against shares for Increase in Capital allows the proposed enhanced share capital a. The existing authorized share capital allows the proposed enhanced share capital iii. Advance against shares for Increase against advance and all regulatory requirements i.eld there is no unreasonable delay in issue of shares against advance and all regulatory requirements i.eld i.eld there is no unreasonable delay in baits of shares against advance and all regulatory requirements i.eld i.eld there is no unreasonable delay in baits of share been completed. i.eld a. Auditor is statisfied that such advance is against the increase of capital. i.eld i.eld there is a comming principles and included in the financial statements. i.eld i.eld there is a comming principles and includes within exit 12 months. i.eld i.eld tartement must be submitted to exchange. iii. Subordinated loans which do not fulfil the conditions specified by SCP 2.5 fotal Liabilities eaditor to exchange. ii. Subordinated loans which do not fulfil the conditions specified by SCP iii. Subordinated loans which do not fulfil the conditions specified by SCP 2.6 fotal liabilitites <td></td> <td></td> <td></td> <td></td> <td></td>					
III: Staff retirement benefits III: Staff retirement benefits III: Advance against shares for increase in Capital of Securities broker 10% haircut may be allowed in respect of advance against shares if: III: Advance against shares for increase in capital III: Bead of Directors of the company has approved enhanced share capital III: Advance against shares for increase in capital III: Bead of Directors of the company has approved the increase in capital III: Advance against Bhares advance and all regulatory requirements relating to the increase in paid up capital have been completed. III: Advance against Bhares advance and all regulatory requirements Void Tris staffied that such advances and included in the financial statements III: Advance against Bhares advance and all regulatory requirements 24 Subordinated Ioans which fulfil the conditions specified by SCP are allowed to be deducted. III: Advance against Bhares advance and must clearly reflect the amount to be repaid at for a profit part advance and must clearly reflect the amount to be repaid at for 12 months. III: Directoring petido III: Staff advance					
isepect of addimate against shares if: is the existing authorized share capital allows the proposed enhanced share capital b. Boad of Directos of the company has approved the increase in capital is the existing authorized share capital allows the proposed enhanced share capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements is d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements is i. Other liabilities ap per accounting principles and included in the financial statements. is iv. Other liabilities ap per accounting principles and included in the financial statements. is to an agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting paried is c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital interements be automited to exchance. is d. Subordinated Loans which due to littli the conditions specified by SECP is 23.412.281 0 23.412.281 0 d. Bon hardure be addimited to exchance. is is is is is is is is		ii. Staff retirement benefits			
a. The existing autholized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained c. Relevant Regulatory approvals have been completed. e. Author is sufficient of the company have been completed. c. Relevant Regulatory approvals have been completed. e. Author is sufficient of the super strength of the increase in capital. increase in paid up capital have been completed. e. Author is sufficient of the super strength of the increase in capital. increase in capital. iv. Other itsolifies as paid in the increase in capital. increase in capital. iv. Other itsolifies as paid of the increase in capital. increase in capital. iv. Other itsolifies as paid in the increase in capital. increase in capital. iv. Other itsolifies as paid in the increase in capital. increase in capital. iv. Other itsolifies as paid in the increase in capital. increase in capital. iv. Other itsolifies as paid in the increase in capital. increase in capital. iv. Other itsolifies as pains the increase in capital. increase in capital. iv. Other itsolifies as pains the increase in capital. increase increase in capital. iv. Other itsolifies as pains the increase in capital. increase increase in capital. iv. Other itsolifies					
b. Boad of Directors of the company has approved the increase in capital . c. Relevant Regulatory approvals have been obtained. . d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in pladit up capital have been completed. . e. Auditor is satisfied that such advance is against advance and all regulatory requirements. . . 1. Too 36 "Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. . . 1. Too 36 "Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. . . 1. Too 36 "Subordinated loans which fulfill the conditions specified by SECP. . . . a toan agreement must be executed on stamp paper and must clearly replay be within rest 12 months. . . . c. in case of early replayment of toan, adjustment shall be made to the Liquid Capital and revised Liquid Capital adterment must be submitted to exchange. .					
c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in kiss of shares against advance and all regulatory requirements elating to the Increase In paid up capital have been completed. e. Audiot is satisfied that such advances against the increase of capital iv. Other liabilities as per accounting principles and included in the financial statements. increase 24 Subordinated Loans increase 1:100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: increase The Scheduel II provides that 100% havious day and subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haicut will be allowed agains thot term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated cleans which do not fulfill the conditions specified by SECP iii. Concentration in Margin Financing iiii: Concentration in Sequence of the sub sy which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from any of the financees excurites lending and borrowing iiii: Concentration in Securities pledged as margins exceed the 110% of the market value of shares borrowing iiii: Concentration in securities pledged as margins exceed the 110% of the subscription price. Store the aggr					
relating to the increase in paid up capital have been completed.		c. Relevant Regulatory approvals have been obtained			
e. Audior is satisfied that such advance is against the increase of capital. iv Other liabilities are per accounting principles and included in the financial statements iv Other liabilities are per accounting principles and included in the financial statements iv Other statement and the statement of the advance of the					
iv. Other liabilities are accounting principles and included in the financial statements Image: Constraint of					
0.10% of Subordinated loams which fulfill the conditions specified by SECP are allowed to adducted: In 10% of Subordinated Intervention of the allowed against subordinated Loams which fulfill the conditions are specified. In 10% of Subordinated Intervention of the allowed against subordinated Loams which fulfill the conditions are specified. In 10% of Subordinated Intervention of the subordinated Loams which fulfill the conditions are specified. Intervention of the subordinated Intervention of the subordinated Loams which fulfill the conditions are specified. Intervention of the subordinated Intervention of the subordinated Loams which fulfill the conditions are specified. 0. In case of early repayment of Ioan adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subordinated to subordinated Ioams which do not fulfill the conditions specified by SECP In case of early repayment of Ioan adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be subordinated Ioams which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. Image: Imag		iv. Other liabilities as per accounting principles and included in the financial statements			
The Schedule III provides that 100% halicut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of the non-andjustment shall be made to the Liquid Capital and revised Liquid Capital and revised Liquid Capital and revised Liquid Capital and revised Liquid Capital statement must be submitted to exchange. 2.5 Iotal Liabilities 23,412,281 0 23,412,281 3 Ranking Liabilities Relating to : 3 3 3 3 3 4 1 Concentration in Margin Financing. The amount calcuted client-1 c- client basis by which any amount receivable from any of the financees exceed 10% of the agregate of amounts receivable from total financees. 5 1 3.2 Concentration in Margin Jand borrowing 1	2.4				
3 Ranking Liabilities Relating to :		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid (Capital statement must be submitted to exchange.			
3 Ranking Liabilities Relating to :	2.5	T - 4 - 1 1 4 - 1 - 1 - 1 - 1 - 1	22 412 201	0	22 412 291
3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (i) Amount deposited by the borrower with NCCPL (i) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments are greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments in the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (a) for eign exchange agreements and foreign currency positions 5% of the net position in foreign currency less total liabilities denominated in foreign currency assets denominated in foreign currency less total liabilities denominated in foreign currency			23,412,201	0	23,412,281
32 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total financees. 32 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) Cash margins paid and (iii) Cash margins paid and (iii) Cash margins paid and (iii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. in the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments 3.4 Neegative equity of subsidiary (measer 12.5%) (measer 12.5%) (b) in any other case: 12.5% of the subsidiary (excluding any amount due from the subsidiary) (measer 12.5%) (measer 12.5%) 3.5 Foreign exchange agreements and foreign currency positions (measet 12.5%)					
3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (i) the case of right issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency nears the difference of total assets denominated in foreign currency. Net position in foreign currency and the foreign currency assets denominated in foreign currency weat the difference of total assets denominated in foreign currency. Net position in foreign currency	0.1				
The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (ii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (a) In the case of right issue : if the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (i) (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments (b) (c) in any other case: 12.5% of the subsidiary (excluding any amount due from the subsidiary) (b) exceed the total liabilities of the subsidiary (c) (b) in any other case: 12.5% of the net subsidiary (excluding any amount due from the subsidiary) (c) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) (c) assets denominated in foreign currency less total liabilities denominated in foreign curr					
(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case: 12.5% of the net underwriting commitments (c) (b) in any other case: 12.5% of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.4 Negative equity of subsidiary exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6	3.2				
3.3 Net underwriting Commitments (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares 			
(a) in the case of right issue : if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency 3.6 Amount Payable under REPO	3.3				
(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (b) in any other case : 12.5% of the net underwriting commitments 3.4 Negative equity of subsidiary (a) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary) (b) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary) 3.4 Negative equity of subsidiary (a) in any other case is the subsidiary (excluding any amount due from the subsidiary) 3.5 Foreign exchange agreements and foreign currency positions (a) in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO (a) in foreign currency	0.0	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
In the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting Image: Constraint of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Constraint of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Constraint of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Constraint of the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Constraint of the subscription price, 5% of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitments Image: Constraint of the subscription price, 5% of the net underwriting commitment of the subscription price, 5% of the net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency Image: Constraint of the subscription price, 5% of total assets denominated in foreign currency Image: Constraint of the subscription price, 5% of total assets denominated in foreign currency Image: Constraint of the subscription price, 5% of total assets denominated in foreign currency Image: Constrai		(i) the 50% of Haircut multiplied by the underwriting commitments and			
the Haircut multiplied by the net underwriting interval (b) in any other case : 12.5% of the net underwriting commitments interval 3.4 Negative equity of subsidiary interval The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) interval exceed the total liabilities of the subsidiary interval 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO					
3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO		the Haircut multiplied by the net underwriting			
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	2 4				
3.5 Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency 3.6 3.6 Amount Payable under REPO	3.5				
assets denominated in foreign cuurency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO	0.0				
		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
	3.1	kepo aajustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-MAR-19**

of M/s. 128 Securities (Pvt) Ltd

Submission Date 15-APR-2019 14:22:13

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	113,175	113,175
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	113,175	
3.12	Liquid Capital	25,376,683	23,277,447	5,927,732